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THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Geoffrey R. Kruse et al.

Appln. No. : 10/814,828

Filed : March 31, 2004

For : APPLICATION INTERFACE FOR A  
DATA STORAGE SYSTEM

Docket No.: M61.12-0622

Group Art Unit:

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-1450, THIS

6 DAY OF July, 2004  
*Deuche Zikvale*  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2. \_\_\_\_\_ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. §

1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
  - B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**METHOD OF PAYMENT**

- ☒ No fee is required.
- ☐ Attached is a check in the amount of \$\_\_\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Atty. Docket No.: <b>M61.12-0622</b>	Appl. No.: <b>10/814,828</b>
		First Named Inventor:  <b>Geoffrey R. Kruse et al.</b>	
		Filing Date <b>March 31, 2004</b>	Group Art:

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,598,037	7/22/03	Craig et al.	701	1	
AB	6,513,112	1/28/03	Craig et al.	713	1	
AC	6,631,519	10/7/03	Nicholson et al.	717	169	
AD	6,356,901	3/12/02	MacLeod et al.	707	6	
AE	6,564,377	5/13/03	Jayasimha et al.	717	174	
AF	6,377,960	4/23/02	Qiu et al.	707	203	
AG	6,606,634	8/12/03	Craig et al.	707	102	
AH	6,466,943	10/15/02	Craig	701	102	
AI	6,539,374	3/25/03	Jung	707	4	
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Promotional Material from the Banner project entitled "E-Print Account Statements, pp. 1-19, Prior to 3/31/04
AP	Millennium - Financial Administration Support Tool User Manual, pp. 1-21, prior to 3/31/04
AQ	Promotional Brochure of ProAntage Software, Inc. entitled "Executive Portfolio", 2 pages, prior to 3/31/04

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.